UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

DAVIS & BUJOLD, P.L.L.C. 112 PLEASANT STREET CONCORD, NH 03301 **COPY MAILED**

MAY 1 4 2007

OFFICE OF PETITIONS

In re Application of

John J. Janas III et al

Application No. 10/017,652

Filed: December 12, 2001

Attorney Docket No. CLCOCO P01AUS

ON PETITION

This is a decision on the petition, filed December 12, 2006, under 37 CFR 1.137(b) to revive the above-identified application.

The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.137(b)." This is not final agency action within the meaning of 5 U.S.C. § 704.

A grantable petition under 37 CFR 1.137(b) must be accompanied by: (1) the required reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(m); (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(c). Where there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137 was unintentional, the Commissioner may require additional information. See MPEP 711.03(c)(III)(C) and (D). The instant petition lack(s) item(s) (1).

The above-identified application became abandoned for failure to reply within the meaning of 37 CFR 1.113 in a timely manner to the final Office action mailed May 23, 2006, which set a shortened statutory period for reply of three (3) months. A reply under 37 CFR 1.113 is limited to an amendment that *prima facie* places the application in condition for allowance or a Notice of Appeal (and appeal fee required by 37 CFR 1.17(b)). No amendment *prima facie* placing the application in condition for allowance or Notice of Appeal (and appeal fee) was timely filed, and no extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the above-identified application became abandoned on August 24, 2006.

It is not apparent whether the person signing the statement of unintentional delay was in a position to have firsthand or direct knowledge of the facts and circumstances of the delay at issue. Nevertheless, such statement is being treated as having been made as the result of a reasonable inquiry into the facts and circumstances of such delay. See 37 CFR 10.18(b) and Changes to Patent Practice and Procedure; Final Rule Notice, 62 Fed. Reg. 53131, 53178 (October 10, 1997), 1203 Off. Gaz. Pat. Office 63, 103 (October 21, 1997). In the event that such an inquiry has not been made, petitioner must make such an inquiry. If such inquiry results in the discovery that it is not correct that the entire delay in filing the required reply

from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional, petitioner must notify the Office.

The person signing the instant petition also requests a change of correspondence address to the address given in the instant petition. There is, however, no indication that the person signing the instant petition was ever given a power of attorney or authorization of agent to prosecute the above-identified application. If the person signing the instant petition desires to receive future correspondence regarding this application, the appropriate power of attorney or authorization of agent must be submitted. While a courtesy copy of this decision is being mailed to the person signing the instant petition, all future correspondence will be directed to the address currently of record until such time as appropriate instructions are received to the contrary.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop PETITIONS

Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450

By hand:

Customer Service Window

Randolph Building 401 Dulany Street Alexandria, VA 22314

By facsimile:

(571) 273-8300

ATTN: Office of Petitions

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3210.

Irvin Dingle
Petitions Examiner
Office of Petitions

cc:

Christopher N. George

McAndrews Held & Malloy, LTD 500 West Madison Street, Suite 3400

Chicago, IL 60661

Attachment: Advisory Action

Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s) | |
|------------------|--------------|--|
| 10/017,652 | JANAS ET AL. | |
| Examiner | Art Unit | |
| Mike Tomaszewski | 3626 | |

| | Mike Tomaszewski | 3626 | |
|---|--|---|--|
| The MAILING DATE of this communication appe | ars on the cover sheet with the o | orrespondence add | ress |
| THE REPLY FILED 12 December 2006 FAILS TO PLACE THIS | | | |
| 1. The reply was filed after a final rejection, but prior to or on this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in compliance time periods: | the same day as filing a Notice of ving replies: (1) an amendment, aff tice of Appeal (with appeal fee) in one with 37 CFR 1.114. The reply mo | Appeal. To avoid aba idavit, or other evider compliance with 37 C | nce, which FR 41.31; or (3) |
| a) The period for reply expires 3 months from the mailing date | | | |
| b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire to Examiner Note: If box 1 is checked, check either box (a) or TWO MONTHS OF THE FINAL REJECTION. See MPEP 7 | ater than SIX MONTHS from the mailin (b). ONLY CHECK BOX (b) WHEN THE | g date of the final rejecti | on. |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of ex under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b) NOTICE OF APPEAL | on which the petition under 37 CFR 1.1 tension and the corresponding amount shortened statutory period for reply origon than three months after the mailing da | of the fee. The approprinally set in the final Offi | iate extension fee ce action; or (2) as |
| The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exte a Notice of Appeal has been filed, any reply must be filed | nsion thereof (37 CFR 41.37(e)), to | avoid dismissal of th | ns of the date of le appeal. Since |
| AMENDMENTS | | | |
| The proposed amendment(s) filed after a final rejection, (a) ∑ They raise new issues that would require further co (b) ∑ They raise the issue of new matter (see NOTE belo (c) ∑ They are not deemed to place the application in below | nsideration and/or search (see NO w); | TE below); | |
| appeal; and/or (d) They present additional claims without canceling a | corresponding number of finally rej | | |
| NOTE: <u>See Continuation Sheet</u> . (See 37 CFR 1.1 4. The amendments are not in compliance with 37 CFR 1.1 | | mnliant Amendment | (DTOL 324) |
| 5. Applicant's reply has overcome the following rejection(s) | | impliant Amendment | (FTOL-324). |
| Newly proposed or amended claim(s) would be all non-allowable claim(s). | | timely filed amendme | ent canceling the |
| For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro The status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to: Claim(s) rejected: Claim(s) withdrawn from consideration: | ☐ will not be entered, or b) ☐ wivided below or appended. | ll be entered and an e | explanation of |
| AFFIDAVIT OR OTHER EVIDENCE | | | |
| The affidavit or other evidence filed after a final action, bubecause applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e). | | | |
| 9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to of showing a good and sufficient reasons why it is necessar | overcome <u>all</u> rejections under appe y and was not earlier presented. S | al and/or appellant fa see 37 CFR 41.33(d)(| ils to provide a 1). |
| 10. ☐ The affidavit or other evidence is entered. An explanatio REQUEST FOR RECONSIDERATION/OTHER | n of the status of the claims after e | ntry is below or attacl | ned. |
| 11. The request for reconsideration has been considered bu See Continuation Sheet. | • | n condition for allowa | nce because: |
| 12. Note the attached Information Disclosure Statement(s). | (PTO/SB/08) Paper No(s). | | ٠ |
| 13. Other: | | | |
| | | | |

Application No. 10/017,652

Continuation Sheet (PTO-303)

Continuation of 3. NOTE: Applicant's amendments to the claims are a change in scope from the previous version of the claims and would require further search and consideration.

Continuation of 11. does NOT place the application in condition for allowance because: Applicant argues features that have not been entered as of the present communication. All other arguments rehash issues addressed in the Final Rejection mailed 5/23/06. As such, the rejections are hereby maintained.